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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023 I

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In re Application of GIRAUD et al

U.S. Application No.: 09/869,768

Int. Application No.: PCT/FR99/03317 **DECISION**

Int. Filing Date: 30 December 1999

Priority Date: 31 December 1998

Attorney Docket No.: CR1PCT/lw

DISPENSER OF OBJECTS For:

This is in response to applicant's "Renewed Petition Under 37 CFR § 1.47(a)" filed 01 August 2003 and "Transmittal of Duplicate Renewed Petition" filed 06 October 2003.

BACKGROUND

On 30 December 1999, applicant filed international application PCT/FR99/03317, which claimed priority of an earlier France application filed 31 December 1998. A copy of the international application was communicated to the USPTO from the International Bureau on 13 July 2000. A Demand for international preliminary examination, in which the United States was elected, was filed on 21 July 2000, prior to the expiration of nineteen months from the priority date. Accordingly, the thirty-month period for paying the basic national fee in the United States expired at midnight on 02 July 2001 (30 June 2001 was a Saturday).

On 29 June 2001, applicant filed national stage papers in the United States Designated/Elected Office (DO/EO/US). The submission was accompanied by, inter alia, the basic national fee required by 35 U.S.C. 371(c)(1).

On 07 September 2001, the DO/EO/US mailed a Notification of Missing Requirements Under 35 U.S.C. 371 (Form PCT/DO/EO/905), which indicated that an oath or declaration in compliance with 37 CFR 1.497 must be filed.

On 06 February 2002, the DO/EO/US mailed a duplicate Notification of Missing Requirements Under 35 U.S.C. 371 (Form PCT/DO/EO/905).

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On 24 May 2002, applicant filed a response to the Notification of Missing Requirements mailed 06 February 2002, including a petition under 37 CFR 1.47(a).

On 26 July 2002, the DO/EO/US mailed a Notice of Abandonment, which stated that the present application is abandoned for failure to timely respond to the "Notice to File Missing Parts (Notice) mailed on 02/07/2002".

On 09 August 2002, applicant filed a petition under 37 CFR 1.181 to withdraw the holding of abandonment.

On 23 August 2002, this Office mailed a decision dismissing the 09 August 2002 petition, vacating the 26 July 2002 Notice of Abandonment, and directing the DO/EO/US to prepare a Notification of Abandonment (Form PCT/DO/EO/909).

On 29 August 2002, applicant filed a renewed petition under 37 CFR 1.181.

On 30 August 2002, the DO/EO/US mailed a Notification of Abandonment (Form PCT/DO/EO/909), which indicated that the application is abandoned as to the United States for failure to timely respond to the Notification of Missing Requirements mailed 07 September 2001.

On 16 September 2002, applicant filed a supplement to the renewed petition under 37 CFR 1.181.

On 18 September 2002, this Office mailed a decision granting the 16 September 2002 petition under 37 CFR 1.181 and dismissing the 24 May 2002 petition under 37 CFR 1.47(a).

On 11 February 2003, applicant filed a renewed petition under 37 CFR 1.47(a).

On 29 April 2003, this Office mailed a decision dismissing the 11 February 2003 petition.

On 01 August 2003, applicant filed a renewed petition under 37 CFR 1.47(a).

On 06 October 2003, applicant filed a copy of the 01 August 2003 renewed petition.

DISCUSSION

A petition under 37 CFR 1.47(a) must be accompanied by: (1) an oath or declaration by each applicant on his or her own behalf and on behalf of the nonsigning joint inventors, (2) factual proof that the missing joint inventors refuse to join in the application or cannot be reached after diligent effort, (3) the fee set forth in §1.17(i), and (4) the last known addresses of the nonsigning joint inventors.

Applicant has previously satisfied items (1), (3), and (4) above.

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With regard to item (2) above, MPEP 409.03(d) states in relevant part,

Where a refusal to sign the application papers is alleged, the circumstances of this refusal must be specified in an affidavit or declaration by the person to whom the refusal was made. Statements by a party not present when an oral refusal is made will not be accepted.

Before a refusal can be alleged, it must be demonstrated that a bona fide attempt was made to present a copy of the application papers (specification, including claims, drawings, and oath or declaration) to the nonsigning inventor for signature. A copy of the application papers should be sent to the last known address of the nonsigning inventor, or, if the nonsigning inventor is represented by counsel, to the address of the nonsigning inventor's attorney.

When there is an express oral refusal, that fact along with the time and place of the refusal must be stated in the affidavit or declaration. When there is an express written refusal, a copy of the document evidencing that refusal must be made part of the affidavit or declaration.

When it is concluded by the 37 CFR 1.47 applicant that a nonsigning inventor's conduct constitutes a refusal, all facts upon which that conclusion is based should be stated in the affidavit or declaration. If there is documentary evidence to support facts alleged in the affidavit or declaration, such evidence should be submitted. Whenever a nonsigning inventor gives a reason for refusing to sign the application oath or declaration, that reason should be stated in the affidavit or declaration.

The present renewed petition states that inventor Jean-Pierre Giraud refuses to sign the declaration. The renewed petition adequately demonstrates that a bona fide attempt was made to present a complete copy of the application papers (including specification, claims, drawings, and oath/declaration) to Giraud for signature. Specifically, a complete copy of the application papers was delivered by mail to Giraud on 13 June 2003. Furthermore, the renewed petition sufficiently illustrates Giraud's refusal to sign the application papers. Specifically, the copy of the application papers was accompanied by a cover letter which required Giraud to respond by a certain date and which stated that non-response would be treated as a refusal to sign. The renewed petition states that Giraud never responded to the correspondence. Therefore, it can be concluded with reasonable certainty that Giraud refuses to join in the application.

CONCLUSION

For the reasons above, the renewed petition under 37 CFR 1.47(a) is GRANTED.

This application has an international filing date of <u>30 December 1999</u> and a date under 35 U.S.C. 371(c) of <u>24 May 2002</u>.

As set forth in 37 CFR 1.47(a), a notice of the filing of this application will be forwarded to the nonsigning inventor at the last known address of record and will be published in the *Official Gazette*.

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The application is being forwarded to the DO/EO/US for processing in accordance with this decision.

Bryan Tung

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In re Application of GIRAUD et al U.S. Application No.: 09/869,768 Int. Application No.: PCT/FR99/03317 Int. Filing Date: 30 December 1999 Priority Date: 31 December 1998

DISPENSER OF OBJECTS

Déar Jean-Pierre Giraud:

For:

You are named as a joint inventor in the above-captioned United States national stage application, filed under the provisions of 37 CFR 1.47(a) and 35 U.S.C. 116. Should a patent be granted, you will be designated as an inventor.

As a named inventor, you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost set forth in 37 CFR 1.19) or to make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent agent or attorney presenting written authorization from you. If you choose to join in the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

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